



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/820,144
Applicant : Esther H. Chang
Filed : April 8, 2004
TC/A.U. : 1632
Examiner :

Docket No. : 2444-116
Customer No. : 06449
Confirmation No. : 6653

INFORMATION DISCLOSURE STATEMENT

Director of the United States Patent
and Trademark Office
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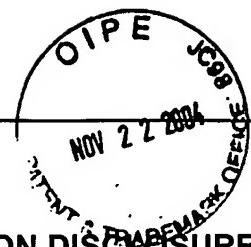
Dear Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98,
Applicant submits herewith Form PTO-1449 listing publications
that the Office may wish to consider in examination of the
subject application.

Pursuant to 1.98(d), copies of the references are not
included because they were previously submitted to the Office in
prior application Serial No. 09/856,270, filed May 18, 2001, and
to which priority is or has been claimed under 35 USC § 120.

Respectfully submitted,

By Barbara G. Ernst
Barbara G. Ernst
Attorney for Applicants
Registration No. 30,377
ROTHWELL, FIGG, ERNST & MANBECK, p.c.
Suite 800, 1425 K Street, N.W.
Washington, D.C. 20005
Telephone: (202) 783-6040



INFORMATION DISCLOSURE STATEMENT BY APPLICANT				<i>Complete if Known</i>			
				Application Number		10/820,144	
				Filing Date		April 8, 2004	
				First Named Inventor		Esther H. Chang	
				Group Art Unit		1632	
				Examiner Name			
Sheet	1	of	2	Attorney Docket Number			
U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
		Number	Kind Code ² (if known)				
AA		5,693,509		Cotten et al.	Dec. 12, 1997		
AB		5,962,311		Wickham et al.	Oct.5, 1999		
AC		5,994,109		Woo et al.	Nov.30, 1999		
AD		6,410,010		Zhang et al.	Jun 25, 2002		
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³ Code	Number ⁴	Kind ⁵ (if known)			
AE	WO	94/24299		Boehringer Ingelheim Int'l GmbH	Oct. 27, 1994		
AF	WO	97/38723		Immusol Inc.	Oct. 23, 1997		
AG	WO	98/40508		Barbara Sosnowski et al.	Sep. 17, 1998		
Examiner Signature					Date Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code.

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

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STATEMENT BY APPLICANT

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Sheet	2	of	2	Attorney Docket Number

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	AH	CARLUCCI et al., <i>Renal, Bladder and Prostate Cancers: Gene Therapy; Diagnosi and Treatment of Genitourinary Malignancies</i> , 1996, Kluwer Academic Publishers, Boston.	
	AI	COOPER, <i>Oncogenes</i> , by Jones and Bartlett Publishers; ISBN 0-86720-136-3, 1990	
	AJ	DEONARAIN, "Ligand-targeted receptor-mediated vectors for gene delivery," <i>Expert Opinion on Therapeutics Patents</i> , GB, Ashley Publications, 8(1):53-69, January 1998.	
	AK	DOUGLAS et al., "Targeted adenoviral vectors for cancer gene therapy (Review)," <i>International Journal of Oncology</i> , 11:341-348, 1997	
	AL	ECK et al., <i>Goodman & Gilman's The Pharmacological Basis of Therapeutics</i> , McGraw-Hill, New York, pp. 77-101, 1996	
	AM	FEIGELSON et al., "Genetic Susceptibility to Cancer from exogenous and Endogenous Exposures," <i>Journal of Cellular Biochemistry</i> , 255:15-22 (1996)	
	AN	GURA, T., <i>Science</i> , 278:1041-1042, 1997	
	AO	HULME, <i>Receptor-Ligand Interactions-A Practical Approach</i> , Oxford University Press, Walton Street, Oxford OX2 6DP, ISBN 0-19-963090-9	
	AP	MICHAEL et al., "Strategies to achieve targeted gene delivery via the receptor-mediated endocytosis pathway," <i>Gene Therapy</i> 1:223-232, 1994.	
	AQ	MILLER et al., "Targeted vectors for gene therapy," <i>FASEB Journal, U.S. Fed. of American Society for Experimental Biology</i> , 9(2): 190-1999, February 1, 1995.	
	AR	Report and Recommendations of the Panel to Assess the NIH Investment Research on Gene Therapy, 12/7/95	
	AS	STAVRIDIS et al., "Construction of Transferrin-Coated Liposomes for In Vivo Transport of Exogenous DNA to Bone Marrow Erythroblasts in Rabbits," given as a communication at the NATO Advanced Studies Institute Conf., Cape Sounioin Beach, Greece, June 20-July 1, 1983.	
	AT	STRYER, <i>Biochemistry</i> , 1995, pp. 7, 8, 33-40, 938-939	
	AU	VERMA et al., <i>Nature</i> , 389:239-242, 1997	
	AV	WAGNER et al., "Transferrin - polycation conjugates as carriers for DNA uptake into cells," <i>Proc. Natl. Acad. Sci. USA</i> 87:3410-3414, May 1990.	
	AW	XU, "Transferrin-Liposome-Mediated p53 Sensitization of Squamous Cell Carcinoma of the Head and Neck to Radiation <i>In Vitro</i> ," <i>Human Gene Therapy</i> , 8:467-475, March 1, 1997.	
Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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